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Metallization of polymer composites by metalorganic chemical vapor deposition of Cu: Surface functionalization driven films characteristics

Thomas Duguet *, François Senocq, Lydia Laffont, Constantin Vahlas

CIRIMAT, CNRS — Université de Toulouse, 4, allée Emile Monso, BP-44362, 31030 Toulouse Cedex 4, France

A B S T R A C T

The present work is an evaluation of the most efficient pretreatments that are used in the field of metallization of poly-epoxies. In particular, we treat the case of MOCVD metallization with the constraints of limiting preparation steps and non-null, though limited thermal budget, and obtaining smooth, adherent, and highly conductive Cu coatings. Several results are presented after applying ex or in situ mechanical and chemical treatments. For the first time, transmission electron microscopy investigations illustrate the effect on the coating of H₂O vapor addition during the first steps of the deposition process. In an original manner, we show that the enhancement of surface reactivity displaces the center of mass of the deposit towards the gas entry in the hot-wall reactor. Additionally, with the particularity of the hot-wall configuration, we show that differences in the deposition conditions along the reactor locally place the deposition in different regimes, i.e. diffusional or kinetic, with a strong effect on the coating microstructure and properties. Therefore, for both controlling the film properties and tuning the MOCVD reactor and the processing conditions, surface reactivity must be considered, in addition to the classical macroscopic processing parameters.

1. Introduction

Replacement of metals by polymer-based composites maintains stiffness while providing weight gain, the latter property being critical for energy saves and low environmental impact. Electrical conductivity is often required for polymer composites, and can be obtained by the deposition on their surfaces of a metallic film. Metalorganic chemical vapor deposition (MOCVD) is well suited for treating complex, non-line-of-sight surfaces while avoiding the often eco-unfriendly nature of wet chemicals. Copper, the second metal with the lowest electrical resistivity after Ag, is most often used for this purpose, also because it is abundant, accessible, and presents moderate environmental foot-

print. However, due to the relatively low surface energy of polymers in general and epoxies in particular (in the order of 20 to 50 mJ/m²), adhesion of metal is difficult, and numerous drawbacks may tarnish the deposition process. These include considerable nucleation delay of Cu, rough, discontinuous morphology of the films and poor adhesion to the substrate. Accommodation of these drawbacks depends among other factors on the surface characteristics of the polymer substrate, and on the deposition chemistry and conditions. Insight in the abundant literature on the metallization of polymers results in typically three ways to circumvent this difficulty, namely by increasing the surface roughness aiming at increasing the mechanical anchoring of the film, and by functionalizing the surface either by grafting, or by forming reactive groups on it. The latter is often achieved by oxidizing the non-polar C–C and C–H groups which prevail on the surface of epoxies.

There are but few reports evaluating the efficiency of such treatments in the frame of a polymer metallization involving an MOCVD process. This is the topic of the present paper. We report on the influence of ex situ and/or in situ treatments of the surface of polymer composites on the morphological characteristics and on the growth rate of Cu films processed by MOCVD from (hfac)Cu(1)(MHY) (Gigacopper®) where (hfac) is hexa-

fluoroacetylacetonate and (MHY) is 2-methyl-1-hexen-3-yne [4–6] and from copper(I) N,N′-disopropylacetamidinate, ([Cu(amd)₂]) [7,8]. We investigate six surface pre-treatments, namely (i) mechanical polishing and (ii) chemical etching aiming at the formation of a controlled surface roughness and thus enhancement of mechanical anchoring of the metal, (iii) degasing of the composite aiming at desorption of contaminants, (iv) UV photooxidation in air aiming at the replacement of non-polar C–C and C–H bonds by polar O–C = O ones, (v) flush of the substrate with H₂O vapors during the first steps of the deposition aiming at the creation of –OH functional groups and at the formation of a metal oxide interphase between the polymer and the pure metal, and finally (vi) the grafting of a thin polydopamine interlayer.

In that which follows, we first present experimental details on the surface preparation of the substrates and on the characterization techniques. Then, we present and discuss the obtained results on the deposition of Cu films, in terms of interfacial characteristics, surface morphology, and growth rate as functions of different surface treatments. Finally, we provide concluding remarks and synthetic guidance on the most favorable surface treatments of polymer composites in view of their metallization by MOCVD of Cu films.

* Corresponding author. Tel.: +33 534323439.
E-mail address: thomas.duguet@ensiacet.fr (T. Duguet).
2. Experimental details

MOCVD experiments are performed in horizontal, hot wall reactors operating at 5 Torr. The deposition protocols have been extensively reported in the literature for Gigacopper® [5] and for [Cu(amd)]₂ [78]. Processing temperature is 195 °C and 220 °C for deposition from the two precursors, respectively. The use of [Cu(amd)]₂ is guided by the need to screen the different surface pre-treatments with a precursor which may achieve the deposition of non-covering but uniform thin films. Actually, although Cu deposition from [Cu(amd)]₂ shows a lower growth rate than that of Cupreselect® or Gigacopper®, it can achieve a good thickness uniformity on large areas [9]. These features allow the use of a simple reactor equipped with a sublimator and using reduced precursor amounts. On the other hand, the study of thick Cu coatings is performed using Gigacopper® which is well-known for its high deposition rate, provided that a DLI-MOCVD system is used. Details on particular processing conditions are reported in the next section. Epoxy matrix carbon fiber reinforced composites with epoxy finished surface are used as substrates. They are cleaned with detergent and acetone, dried with Ar and baked for 45 min at 60 °C for outgassing. Following this systematic pretreatment, the substrates are subjected to different treatments either ex situ prior introduction in the deposition chamber or in situ, prior Cu deposition. These treatments aim at either increasing surface area, or modifying surface reactivity, or functionalizing the surface. Surface area is increased ex situ by mechanical polishing aiming at the formation of a controlled surface roughness and thus enhancement of mechanical anchoring of the metal. Two finish roughnesses are thus created, obtained by polishing with P1200 (rough) and P4000 (smooth) grit SiC paper. For similar purpose, roughness is also obtained by chemical etching of the surface by immersion for 10 min at 80 °C in an alkaline oxidizing aqueous solution containing 55 g/L of KMnO₄ and 1.2 mol/L of NaOH. Modification of surface reactivity is achieved by UV photooxidation in air at 76 °C for 2 h aiming at the replacement of non-polar C–C and C–H bonds by polar O–C = O ones. Alternatively, an in situ treatment consists in flushing the substrate with H₂O vapors during the first steps of the deposition, aiming at the creation of –OH functional groups and at the formation of a metal oxide interphase between the polymer and the pure metal. This is achieved by bubbling 50 standard cubic centimeters per minute (sccm) of N₂ through water maintained at 3 °C. Finally, surface functionalization is attempted by immersing the substrates in a buffer solution of 10 mM TRIS-HCl (pH 8.5) prepared with deionized water, and next introducing 2 mg/mL of dopamine/HCl (Sigma Aldrich®) [10]. Samples are then left for 24 h with gentle mechanical stirring and are finally rinsed thoroughly with deionized water and dried with Ar. This mussel-inspired functionalization aims at forming a polydopamine (DOPA) film on the composite substrate prior introduction in the CVD reactor. It has been successfully applied in various systems including metallization of DOPA-modified rubber elastomers [11], and DOPA-modified poly-epoxy [12]. However, it has never been applied to a thermally activated process such as CVD.

Cross-sections of samples processed using H₂O are prepared for TEM analysis, by cutting thin slices, normal to the film/substrate interface with a diamond wire saw. Two slices are glued together, film to film and embedded in a 3 mm diameter brass tube in epoxy resin. After curing, the tube is sectioned into approximately 300 μm thick disks. These disks are then polished on both faces and cut with a diamond knife to create the pyramid shape. Electron transparent samples are subsequently obtained by preparing thin foils using diamond ultramicrotomy and deposited on lacey-carbon-film coated copper grids. TEM and HRTEM investigations are performed using a JEOL JEM 2100 microscope operating at 200 kV and equipped with an energy dispersive spectrometer (EDS) for chemical analysis. The diffraction patterns of the investigated materials are obtained using the selected area electron diffraction (SAED) mode or by Fourier transform of the HRTEM images.

For the results presented in Fig. 4, thickness of the Cu films is evaluated through the evolution of the intensity of the diffracted X-Ray beam as a function of the incidence angle of the incoming beam [13] on 50 × 10 mm² samples. According to the adopted model, the diffracted intensity, for a given line, can be expressed by the relation:

\[ I = \left[ \frac{K}{\sin \theta} \right] \times \left( 1 - \exp \left[ \frac{1}{(\frac{\theta}{\sin \theta}) + \left( \frac{1}{\sin \theta} + \frac{1}{\lambda} \right) \times \frac{\rho \times d}{\lambda} \right] \right] \]

where \( \Omega \) is the incident angle of the incoming beam related to the flat surface of the sample, \( \beta \) the angle of the diffracted beam related to the sample surface, \( \mu/\rho \) the mass absorption coefficient for the film, \( \rho \) the density of the film and \( d \) its thickness. \( K \) is a factor which takes into account the intensity and section of the incoming X-Ray beam, the experimental factors, i.e. divergence of the slits, dimension of the irradiated section of the sample in the perpendicular direction of the diffractometer axes (which have to be constant along the sample for one set of measurements), and structure factor for the considered diffraction line. Provided these experimental factors are kept constant, a set of acquisitions for the same diffraction line at different incident angles, yields a \( I = f(\Omega) \) curve whose equation can provide a good estimation of the thickness of the sample. The main limitation of the method is that it is more accurate for thin samples. In the present case, calculated thicknesses over 6–7 μm are underestimated as the variations of the \( I = f(\Omega) \) curves with thickness are very weak above these values. Additionally, we assume that the copper films have the bulk density of 8.92 g/cm³. We performed measurements in the 42.3–43.3 2θ range (± 1° around Cu 111 line), with a Bruker D8 D8a Vinci, fitted with a Lynx Eye detector, Cu Kα radiation, 0.1° divergence slits, and in a \( \Omega \) range from 1 to 20°.

X-ray photoelectron spectroscopy is performed with a monochromatic Thermo Scientific K-alpha for the investigation of the influence of ex situ UV treatment of the surface. Surface and cross section morphologies, and chemical composition are investigated with a scanning electron microscope (SEM) (LEO 435 VP) equipped with a Ge detector CuKa for the investigation of the inner chemical composition and chemical composition are investigated with a scanning electron microscope (SEM) (LEO 435 VP) equipped with a Ge detector CuKa for the investigation of the inner chemical composition.
by creating reactive functional groups at the surface of poly-epoxies and graphite. In this study, an optimization of the etching time is rough revealing the C-fibers network as it can be seen in the bottom photograph of Fig. 2. If chemical etching is to be used with the epoxy of fibers and of epoxy areas are different, resulting in increased reactivity. Macroscopically, the effect of the UV pretreatment provides a brighter, metallic Cu film. Observation of the central surface area reveals that Cu covers the pretreated surface more uniformly than the bare surface. Operation of SEM and observation of the obtained images confirm this difference. Indeed, charging effects and the loss of imaging resolution on the untreated sample indicate that significantly less Cu is present compared to the UV cured sample. The SEM surface micrograph corresponding to the latter sample shows Cu particles of uniform size which are homogeneously distributed on the surface, regardless of the underlying material; i.e. carbon fibers or poly-epoxy. The UV treatment on smoothly (P4000) polished surface further enhances the uniformity of the Cu film (Fig. 1a).

Insight in the effect of the UV treatment on the composite surface is obtained by XPS spectroscopy. C1s core-level spectra are presented in Fig. 2. The analysis of the C1s peak is performed after a Shirley-type background subtraction and calculated as the convolution of a Gaussian and a Lorentzian with their associated fitting parameters such as a full width at half maximum, asymmetry parameter, energy intensity and position. Identification of the different contributions is based on literature information [18,20–22]. We observe a large decrease of the intensity of the aliphatic carbon (C—C, C=H) at 284.6 ± 0.1 eV, along with the increase of the relative contributions of oxidized species and the formation of a new contribution at ca. 289 eV. The latter peak is due to the creation of –COOR functional groups which can most likely be carboxylic acids of the form R–C(=O)–OH, or esters of the form R–C(=O)–OR. It is concluded that UV treatment results in the oxidation of the surface and in the creation of reactive functional groups. The surface energy is thus increased and subsequently the wettability of the surface by the organometallic precursor is also increased. Enhanced wettability favors homogeneous nucleation of Cu and hence uniform surface coverage by Cu. These effects are visible both macro- and microscopically.

An alternative method to enhance the chemical adhesion is the introduction of water vapors during the initial growth steps of the film [23,24]. This method aims at the formation of copper oxide at the epoxy/Cu interface that shows improved chemical affinity towards the epoxy. It is applied in the realization of Cu/epoxy joints, where the proper Cu oxidation is performed before epoxy polymerization. The low-T cuprous oxide Cu2O shows the best chemical adhesion to epoxy [25–27]. The use of water vapor and proper cooling during CVD of Cu results in decrease of the nucleation delay and better adhesion [5,24]. A better adhesion can also be achieved by applying post-deposition annealing in air [28]. A significant advantage of this method is that the water vapor treatment is easily implemented in a CVD process and allows treating complex surface geometries.

Insight in the epoxy-Cu interface is realized by TEM. Dedicated deposition experiments from Gigacopper® (flow rate = 0.20 g/min) are performed in this purpose, where the surface of the polymer composite was just cleaned. A thick Cu film is cut at coordinate [+60] of the sample presented in Fig. 5. Fig. 3a is a bright field image of the entire cross section of the Cu film. It reveals that the film is composed of two parts: a 0.3 μm-thick sublayer at the interface with the composite and a 2.2-μm thick, outer one. The latter systematically yields selected area electron diffraction (SAED) patterns characteristic of a single crystallite, as shown in Fig. 3b. These patterns are indexed as the face-centered cubic Cu of space group Fm3m and lattice parameter a = 3.615 Å. The preferential growth direction of the pure copper crystallite analyzed here is [001]. Due to the high thickness of the cross section in this area, the 0.3 μm-thick sublayer appears in dark contrast. For this reason, the SAED pattern of this part of the film shown in Fig. 3c has been
obtained on a thinner region. This pattern is composed of concentric rings corresponding to a polycrystalline sample and is indexed using the Cu$_2$O lattice which has a cubic structure (space group Pn3m) with lattice parameter $a = 4.258$ Å. The high resolution HRTEM image performed in this region (Fig. 3d) presents Cu$_2$O nanocrystals whose size varies between 5 nm and 15 nm. The mechanism that leads to the formation of Cu$_2$O is not clear yet. We assume that either the CuI precursor is modified by water in the gas phase and the Cu oxide is directly deposited, or pure Cu$_0$ is deposited and further oxidized by water on the growing surface.

Considering that surface pretreatments enhance the reactivity of the composite substrate, one expects that on appropriately functionalized surfaces limiting steps would be easier to overcome, thus allowing for (a) a more efficient contribution of the reactive gas phase to the overall growth rate and also (b) a finer microstructure of the film. Such limiting steps can be nucleation delay and nucleation density, ultimately leading to the formation of a continuous film. An expected consequence of an efficient contribution of the reactive gas phase to the overall growth rate would be a shift of the thickness profile towards the gas entry of the horizontal, hot wall reactor and a steeper decrease of the thickness towards the end of the deposition zone due to larger precursor depletion. Conversely, by evaluating the characteristics of the thickness profile of the film in constant processing conditions for different surface pretreatments one would conclude on the relative efficiency of the latter.

Fig. 2 shows the thickness of the Cu coating for different surface pretreatments, evaluated by XRD, as a function of the longitudinal position in the isothermal section of the reactor. Depositions are performed with a 0.05 g/min flow rate of Gigacopper® into the deposition chamber. The direction of the precursor flux is shown schematically by a black arrow. The baseline corresponds to the thickness of Cu deposited on the untreated (bare) surface. This baseline is relatively flat with thicknesses between 2.8 and 2.1 μm. The thickness of Cu films processed on H$_2$O, UV, and KMnO$_4$ treated surfaces present clear tendencies; i.e. large thicknesses from 5 μm to higher than 7 μm at the gas entry and drastic decrease towards the exit of the reactor. The strong effect of KMnO$_4$ compared with the UV and H$_2$O treatments is attributed to the creation of roughness whereas the two other treatments enhance chemical reactivity. Higher roughness in the present treatment conditions results in strong increase of the reactive surface area. It is worth noting that finally, DOPA including treatments show reduced even null efficiency. We suspect that the polydopamine film does not sustain the 195 °C deposition temperature, and then creates a partial pressure of outgassing products above the sample which prevents the proper Cu deposition. Depositions at 185 °C give similar results (not shown). To our knowledge, there is no study of the durability of polydopamine films with temperature, an important feature of the MOCVD process.

Considering constraints of treating complex geometries and following the above results, we select the in situ H$_2$O treatment and study a Cu...
coating that has been deposited on a 180 × 20 mm² composite substrate. Deposition is performed by injecting 0.20 g/min of Gigacopper®, with water vapor feeding the deposition chamber during the first 2 min (50 sccm N₂(g) bubbling in 3 °C-water). Fig. 5 summarizes the obtained results. The substrate is positioned with its long side parallel to the axis of the reactor with its lateral coordinates [−10] and [+10] in contact with the reactor walls, where Cu deposition also occurs. The longitudinal coordinate [0] corresponds to the beginning of the isothermal section of the reactor which covers 70 mm. In situ measurements of temperature were performed in the adopted conditions of Cu deposition at coordinates [−60], [0], and [+60] and the results are reported in the upper part of Fig. 5. Deposition occurs in an increasing temperature gradient in the range [−90; 0], in an isothermal section in the range [0; +70], and in a decreasing gradient in the range [+70; +90]. The maximum thickness (19 μm) is found at coordinate [0, 0]. From this point Cu thickness decreases in the lateral and longitudinal directions, in good agreement with the results obtained by XRD, shown in Fig. 4.

The histogram in the middle of Fig. 5 summarizes results of the electrical properties of the film measured at different positions across the length of the substrate. Sheet resistance \( R_s = \frac{U}{I} \) and resistivity \( \rho = \frac{R_s}{Ct} \), where \( C \) is a geometrical factor and \( t \) the film thickness [29], are presented based on the same 4-point probe data. The lowest sheet resistance is found for the thickest films at coordinates [0] and [+30]. The lowest resistivity is found for thinner films at coordinates [−45] and [+60]. Resistivity depends mainly on the Cu purity and structural defects such as the grain boundary density. Cu purity is systematically checked, and within the limits of the EDX spectrometer all the samples are free of heteroatoms (namely C, O, F). Therefore, it is likely that either the temperature and/or the molar fraction of precursor that prevail at coordinates [0] and [+30] favor(s) the formation of defects. In contrast, lower temperature and lower precursor concentration corresponding to positions [−45] and [+60], respectively, favor the deposition of a more electrically conductive film. Part of the answer is provided by...
the bottom SEM cross sectional images of Fig. 5, corresponding to coordinates \([-45], [0]\) and \([+60]\). At \([-45]\), we observe a film which covers the surface uniformly over the 20 mm in width, and also conforms into the concave defect. The film at coordinate \([0]\) is rougher and presents large thickness variations. At coordinate \([+60]\), the film is rougher than at \([-45]\) but smoother and thinner than at \([0]\). It seems that the low temperature of coordinate \([-45]\) locally places deposition in the kinetic regime. Temperature is higher (and equal) at \([0]\) and \([+60]\), probably corresponding to a deposition regime locally controlled by gas phase diffusion and resulting in a microscopically rough film. Hence, the thickness difference between \([0]\) and \([+60]\) can only be explained by the depletion of the precursor molar fraction and thus by a decrease of the growth rate. Overall, we attribute the high resistivity at position \([0]\) to defects induced by the large thickness variations. Since the film is rough but thinner at \([+60]\), the resistivity is lower. A satisfactory resistivity is also obtained for the smooth and uniform film at \([-45]\).

4. Conclusions

Microstructure, growth characteristics and electrochemical properties of MOCVD Cu films on polymer composites depend on the surface treatment prior deposition. A treatment including \(\textit{in situ}\) \(\text{H}_2\text{O}\) vapor flush of the sample in processing conditions during the first minutes of the deposition of Cu provides smooth and highly conductive films. Its effect on the applied deposition conditions can be the creation of a \(\text{Cu}_2\text{O}\) layer between the substrate and the Cu coating. The main advantage of this treatment is that it can be used as an all-in-one treatment that circumvents the problem of mechanical polishing or chemical etching that creates a detrimental roughness if not very well mastered. Nevertheless, dedicated characterizations are necessary to evaluate the effect of this treatment on the adherence of the Cu film on the composite surface. The other treatments (UV, \(\text{KMnO}_4\), DOPA and combination thereof) are obviously efficient but not particularly compatible with the constraints (mainly thermal) of a MOCVD process applied to complex surface geometries.

Traditionally, deposition in a CVD reactor is modeled by a thermokinetic law that varies with deposition parameters, such as the precursor molar fraction, gas fluxes, temperature, and pressure. Additionally, with the partiality of the hot-wall configuration, differences in the deposition conditions along the reactor locally place the deposition in different regimes, \(i.e.\) diffusional or kinetic, with a strong effect on the coating microstructure and properties, as it is shown in Fig. 5. In addition and in an original manner, we show that the enhancement of surface reactivity displaces the center of mass of the deposit towards the gas entry in the hot-wall reactor. Hence, it plays an important role in the deposition process. Therefore, in order to establish the complete process–structure–properties relationship on a given system, surface reactivity has to be implemented in the model, in addition to the traditional \(\textit{precursor molar fraction–T–P–Fluxes}\) parameters.

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